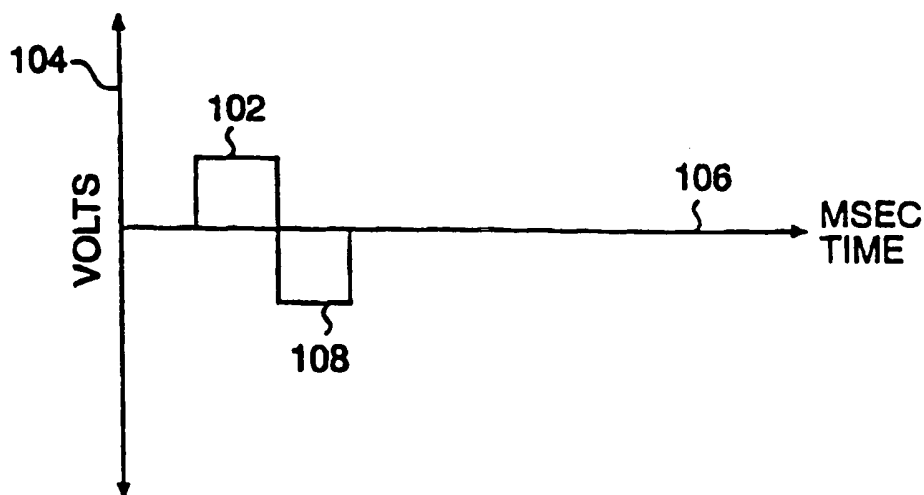




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US99/00879  <b>(22) International Filing Date:</b> 13 January 1999 (13.01.99)  <b>(30) Priority Data:</b> 09/008,636      16 January 1998 (16.01.98)      US  <b>(71)(72) Applicant and Inventor:</b> MOWER, Morton, M. [US/US]; 3908 North Charles Street #1001, Baltimore, MD 21218 (US).  <b>(74) Agent:</b> ROBERTS, Jon, L.; Roberts & Brownell, LLC, Suite 212, 8381 Old Courthouse Road, Vienna, VA 22182 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>

**(54) Title:** AUGMENTATION OF ELECTRICAL CONDUCTION AND CONTRACTIBILITY BY BIPHASIC CARDIAC PACING ADMINISTERED VIA THE CARDIAC BLOOD POOL

**(57) Abstract**

Augmentation of electrical conduction and contractibility by biphasic cardiac pacing. A first stimulation phase is administered to the cardiac blood pool. This first stimulation phase has a predefined polarity, amplitude and duration. A second stimulation phase is then administered to the cardiac blood pool. This second phase also has a predefined polarity, amplitude and duration. The two phases are applied sequentially. Contrary to current thought, anodal stimulation is first applied and followed by cathodal stimulation. In this fashion, pulse conduction through the cardiac muscle is improved together with the increase in contractibility.

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1 **AUGMENTATION OF ELECTRICAL CONDUCTION AND CONTRACTILITY BY**  
2 **BIPHASIC CARDIAC PACING ADMINISTERED VIA THE CARDIAC BLOOD**  
3 **POOL**

4 Inventor: Morton M. Mower, M.D.

6 **RELATED APPLICATION DATA**

8 The present disclosure is a continuation-in-part application related to the U.S. Patent  
9 Application entitled "Augmentation of Electrical Conduction and Contractility by Biphasic  
10 Cardiac Pacing", Serial No. 08/699,552, filed August 8, 1996.

12 **FIELD OF THE INVENTION**

14 This invention relates generally to a method for the stimulation of muscle tissue. In  
15 particular, this invention relates to a method for cardiac stimulation and pacing with biphasic  
16 waveforms wherein the stimulation is administered via the cardiac blood pool.

18 **BACKGROUND OF THE INVENTION**

20 The function of the cardiovascular system is vital for survival. Through blood  
21 circulation, body tissues obtain necessary nutrients and oxygen, and discard waste substances.  
22 In the absence of circulation, cells begin to undergo irreversible changes that lead to death.  
23 The muscular contractions of the heart are the driving force behind circulation.

24 In cardiac muscle, the muscle fibers are interconnected in branching networks that  
25 spread in all directions through the heart. When any portion of this net is stimulated, a  
26 depolarization wave passes to all of its parts and the entire structure contracts as a unit.  
27 Before a muscle fiber can be stimulated to contract, its membrane must be polarized. A  
28 muscle fiber generally remains polarized until it is stimulated by some change in its  
29 environment. A membrane can be stimulated electrically, chemically, mechanically or by  
30 temperature change. The minimal stimulation strength needed to elicit a contraction is

1 known as the threshold stimulus. The maximum stimulation amplitude that may be  
2 administered without eliciting a contraction is the maximum subthreshold amplitude.

3 Where the membrane is stimulated electrically, the impulse amplitude required to  
4 elicit a response is dependent upon a number of factors. First, is the duration of current flow.  
5 Since the total charge transferred is equal to the current amplitude times the pulse duration,  
6 increased stimulus duration is associated with a decrease in threshold current amplitude.  
7 Second, the percentage of applied current that actually traverses the membrane varies  
8 inversely with electrode size. Third, the percentage of applied current that actually traverses  
9 the membrane varies directly with the proximity of the electrode to the tissue. Fourth, the  
10 impulse amplitude required to elicit a response is dependent upon the timing of stimulation  
11 within the excitability cycle.

12 Throughout much of the heart are clumps and strands of specialized cardiac muscle  
13 tissue. This tissue comprises the cardiac conduction system and serves to initiate and  
14 distribute depolarization waves throughout the myocardium. Any interference or block in  
15 cardiac impulse conduction may cause an arrhythmia or marked change in the rate or rhythm  
16 of the heart

17 Sometimes a patient suffering from a conduction disorder can be helped by an  
18 artificial pacemaker. Such a device contains a small battery powered electrical stimulator.  
19 When the artificial pacemaker is installed, electrodes are generally threaded through veins  
20 into the right ventricle, or into the right atrium and right ventricle, and the stimulator is  
21 planted beneath the skin in the shoulder or abdomen. The leads are planted in intimate  
22 contact with the cardiac tissue. The pacemaker then transmits rhythmic electrical impulses to  
23 the heart, and the myocardium responds by contracting rhythmically. Implantable medical  
24 devices for the pacing of the heart are well known in the art and have been used in humans  
25 since approximately the mid 1960s.

26 Either cathodal or anodal current may be used to stimulate the myocardium. However  
27 anodal current is thought not to be useful clinically. Cathodal current comprises electrical  
28 pulses of negative polarity. This type of current depolarizes the cell membrane by  
29 discharging the membrane capacitor, and directly reduces the membrane potential toward  
30 threshold level. Cathodal current, by directly reducing the resting membrane potential toward  
31 threshold has a one-half to one-third lower threshold current in late diastole than does anodal

1 current. Anodal current comprises electrical pulses of positive polarity. The effect of anodal  
2 current is to hyperpolarize the resting membrane. On sudden termination of the anodal pulse,  
3 the membrane potential returns towards resting level, overshoots to threshold, and a  
4 propagated response occurs. The use of anodal current to stimulate the myocardium is  
5 generally discouraged due to the higher stimulation threshold, which leads to use of a higher  
6 current, resulting in a drain on the battery of an implanted device and impaired longevity.  
7 Additionally, the use of anodal current for cardiac stimulation is discouraged due to the  
8 suspicion that the anodal contribution to depolarization can, particularly at higher voltages,  
9 contribute to arrhythmogenesis.

10 Virtually all artificial pacemaking is done using stimulating pulses of negative  
11 polarity, or in the case of bipolar systems, the cathode is closer to the myocardium than is the  
12 anode. Where the use of anodal current is disclosed, it is generally as a charge of minute  
13 magnitude used to dissipate residual charge on the electrode. This does not affect or  
14 condition the myocardium itself. Such a use is disclosed in U.S. Patent No. 4,543,956 to  
15 Herscovici.

16 The use of a triphasic waveform has been disclosed in U.S. Patent Nos. 4,903,700 and  
17 4,821,724 to Whigham et al., and U.S. Patent No. 4,343,312 to Cals et al. Here, the first and  
18 third phases have nothing to do with the myocardium per se, but are only envisioned to affect  
19 the electrode surface itself. Thus, the charge applied in these phases is of very low amplitude.

20 Lastly, biphasic stimulation is disclosed in U.S. Patent No. 4,402,322 to Duggan. The  
21 goal of this disclosure is to produce voltage doubling without the need for a large capacitor in  
22 the output circuit. The phases of the biphasic stimulation disclosed are of equal magnitude  
23 and duration.

24 What is needed is an improved means for stimulating muscle tissue, wherein the  
25 contraction elicited is enhanced and the damage to the tissue adjacent to the electrode is  
26 diminished.

27 Enhanced myocardial function is obtained through the biphasic pacing of the present  
28 invention. The combination of cathodal with anodal pulses of either a stimulating or  
29 conditioning nature, preserves the improved conduction and contractility of anodal pacing  
30 while eliminating the drawback of increased stimulation threshold. The result is a  
31 depolarization wave of increased propagation speed. This increased propagation speed

1 results in superior cardiac contraction leading to an improvement in blood flow. Improved  
2 stimulation at a lower voltage level also results in reduction in power consumption and  
3 increased life for pacemaker batteries. Lastly, the improved stimulation achieved through the  
4 practice of the present invention allows for cardiac stimulation without the necessity of  
5 placing electrical leads in intimate contact with cardiac tissue. Standard stimuli delivered  
6 to the cardiac blood pool are ineffective in capturing the myocardium because it does not  
7 meet the stimulation threshold. While voltage of the pulse generator can be increased, when  
8 it does capture it is often so high that it also stimulates skeletal muscles thereby causing a  
9 painful twitching of the chest wall when only the heart stimulation was desired. As will be  
10 further discussed, through the practice of the present invention, one can enhance myocardial  
11 function through cardiac blood pool stimulation.

12 As with the cardiac muscle, striated muscle may also be stimulated electrically,  
13 chemically, mechanically or by temperature change. Where the muscle fiber is stimulated by  
14 a motor neuron, the neuron transmits an impulse which activates all of the muscle fibers  
15 within its control, that is, those muscle fibers in its motor unit. Depolarization in one region  
16 of the membrane stimulates adjacent regions to depolarize also, and a wave of depolarization  
17 travels over the membrane in all directions away from the site of stimulation. Thus, when a  
18 motor neuron transmits an impulse, all the muscle fibers in its motor unit are stimulated to  
19 contract simultaneously. The minimum strength to elicit a contraction is called the threshold  
20 stimulus. Once this level of stimulation has been met, the generally held belief is that  
21 increasing the level will not increase the contraction. Additionally, since the muscle fibers  
22 within each muscle are organized into motor units, and each motor unit is controlled by a  
23 single motor neuron, all of the muscle fibers in a motor unit are stimulated at the same time.  
24 However, the whole muscle is controlled by many different motor units that respond to  
25 different stimulation thresholds. Thus, when a given stimulus is applied to a muscle, some  
26 motor units may respond while others do not.

27 The combination of cathodal and anodal pulses of the present invention also provides  
28 improved contraction of striated muscle where electrical muscular stimulation is indicated  
29 due to neural or muscular damage. Where nerve fibers have been damaged due to trauma or  
30 disease, muscle fibers in the regions supplied by the damaged nerve fiber tend to undergo  
31 atrophy and waste away. A muscle that cannot be exercised may decrease to half of its usual

1 size in a few months. Where there is no stimulation, not only will the muscle fibers decrease  
2 in size, but they will become fragmented and degenerated, and replaced by connective tissue.  
3 Through electrical stimulation one may maintain muscle tone, such that upon healing or  
4 regeneration of the nerve fiber, viable muscle tissue remains. Enhanced muscle contraction is  
5 obtained through the biphasic stimulation of the present invention. The combination of  
6 cathodal with anodal pulses of either a stimulating or conditioning nature results in  
7 contraction of a greater number of motor units at a lower voltage level, leading to superior  
8 muscle response.

### 10 SUMMARY OF THE INVENTION

11  
12 It is therefore an object of the present invention to provide improved stimulation of  
13 cardiac tissue.

14 It is another object of the present invention to increase cardiac output through superior  
15 cardiac contraction leading to greater stroke volume.

16 It is another object of the present invention to increase impulse propagation speed.

17 It is another object of the present invention to extend pacemaker battery life.

18 It is a further object of the present invention to obtain effective cardiac stimulation at  
19 a lower voltage level.

20 It is a further object of the present invention to eliminate the necessity of placing  
21 electrical leads in intimate contact with tissue to obtain tissue stimulation.

22 It is a further object of the present invention to provide improved stimulation of  
23 muscle tissue.

24 It is a further object of the present invention to provide contraction of a greater  
25 number of muscle motor units at a lower voltage level.

26 A method and apparatus for muscular stimulation in accordance with the present  
27 invention includes the administration of biphasic stimulation to the muscle tissue, wherein  
28 both cathodal and anodal pulses are administered. According to one aspect of this invention,  
29 this stimulation is administered to the myocardium in order to enhance myocardial function.  
30 According to a further aspect of this invention, this stimulation is administered to the cardiac  
31 blood pool and thereafter conducted to the cardiac tissue. This enables cardiac stimulation

1 without the necessity of placing electrical leads in intimate contact with cardiac tissue.  
2 According to a still further aspect of this invention, the stimulation is administered to striated  
3 muscle tissue to evoke muscular response. Pacemaker electronics needed to practice the  
4 method of the present invention are well known to those skilled in the art. Current pacemaker  
5 electronics are capable of being programmed to deliver a variety of pulses, including those  
6 disclosed herein.

7 The method and apparatus of the present invention comprises a first and second  
8 stimulation phase, with each stimulation phase having a polarity, amplitude, shape and  
9 duration. In a preferred embodiment the first and second phases have differing polarities. In  
10 one alternative embodiment, the two phases are of differing amplitude. In a second alternative  
11 embodiment, the two phases are of differing duration. In a third alternative embodiment, the  
12 first phase is in a chopped wave form. In a fourth alternative embodiment, the amplitude of  
13 the first phase is ramped. In a fifth alternative embodiment the first phase is administered  
14 over 200 milliseconds after completion of a cardiac beating/pumping cycle. In a preferred  
15 alternative embodiment, the first phase of stimulation is an anodal pulse at maximum  
16 subthreshold amplitude for a long duration, and the second phase of stimulation is a cathodal  
17 pulse of short duration and high amplitude. It is noted that the aforementioned alternative  
18 embodiments can be combined in differing fashions. It is also noted that these alternative  
19 embodiments are intended to be presented by way of example only, and are not limiting.

#### 20 21 BRIEF DESCRIPTION OF THE DRAWINGS

22  
23 Fig. 1 is a schematic representation of leading anodal biphasic stimulation.

24 Fig. 2 is a schematic representation of leading cathodal biphasic stimulation.

25 Fig. 3 is a schematic representation of leading anodal stimulation of low level and long  
26 duration, followed by conventional cathodal stimulation.

27 Fig. 4 is a schematic representation of leading anodal stimulation of ramped low level and  
28 long duration, followed by conventional cathodal stimulation.

29 Fig. 5 is a schematic representation of leading anodal stimulation of low level and short  
30 duration, administered in series followed by conventional cathodal stimulation.



1 Fig. 6 graphs conduction velocity transverse to the fiber vs pacing duration resulting from  
2 leading anodal biphasic pulse.

3 Fig. 7 graphs conduction velocity parallel to the fiber vs pacing duration resulting from  
4 leading anodal biphasic pulse.

### 5 6 DETAILED DESCRIPTION

7  
8 The present invention relates to the biphasic electrical stimulation of muscle tissue.

9 Fig.

10 1 depicts biphasic electrical stimulation wherein a first stimulation phase comprising anodal  
11 stimulus **102** is administered having amplitude **104** and duration **106**. This first stimulation  
12 phase is immediately followed by a second stimulation phase comprising cathodal stimulation  
13 **108** of equal intensity and duration.

14 Fig. 2 depicts biphasic electrical stimulation wherein a first stimulation phase  
15 comprising cathodal stimulation **202** having amplitude **204** and duration **206** is administered.  
16 This first stimulation phase is immediately followed by a second stimulation phase  
17 comprising anodal stimulation **208** of equal intensity and duration.

18 Fig. 3 depicts a preferred embodiment of the present invention wherein a first  
19 stimulation phase comprising low level, long duration anodal stimulation **302** having  
20 amplitude **304** and duration **306** is administered. This first stimulation phase is immediately  
21 followed by a second stimulation phase comprising cathodal stimulation **308** of conventional  
22 intensity and duration. In an alternative embodiment of the invention, anodal stimulation **302**  
23 is at maximum subthreshold amplitude. In yet another alternative embodiment of the  
24 invention, anodal stimulation **302** is less than three volts. In another alternative embodiment  
25 of the invention, anodal stimulation **302** is a duration of approximately two to eight  
26 milliseconds. In yet another alternative embodiment of the invention, cathodal stimulation  
27 **308** is of a short duration. In another alternative embodiment of the invention, cathodal  
28 stimulation **308** is approximately 0.3 to 0.8 milliseconds. In yet another alternative  
29 embodiment of the invention, cathodal stimulation **308** is of a high amplitude. In another  
30 alternative embodiment of the invention, cathodal stimulation **308** is in the approximate range  
31 of three to twenty volts. In yet another alternative embodiment of the present invention,

1 cathodal stimulation 308 is of a duration less than 0.3 milliseconds and at a voltage greater  
2 than twenty volts. In another alternative embodiment, anodal stimulation 302 is administered  
3 over 200 milliseconds post heart beat. In the manner disclosed by these embodiments, as  
4 well as those alterations and modifications which may become obvious upon the reading of  
5 this specification, a maximum membrane potential without activation is achieved in the first  
6 phase of stimulation.

7 Fig. 4 depicts an alternative preferred embodiment of the present invention wherein a  
8 first stimulation phase comprising anodal stimulation 402 is administered over period 404  
9 with rising intensity level 406. The ramp of rising intensity level 406 may be linear or non-  
10 linear, and the slope may vary. This anodal stimulation is immediately followed by a second  
11 stimulation phase comprising cathodal stimulation 408 of conventional intensity and  
12 duration. In an alternative embodiment of the invention, anodal stimulation 402 rises to a  
13 maximum subthreshold amplitude. In yet another alternative embodiment of the invention,  
14 anodal stimulation 402 rises to a maximum amplitude that is less than three volts. In another  
15 alternative embodiment of the invention, anodal stimulation 402 is a duration of  
16 approximately two to eight milliseconds. In yet another alternative embodiment of the  
17 invention, cathodal stimulation 408 is of a short duration. In another alternative embodiment  
18 of the invention, cathodal stimulation 408 is approximately 0.3 to 0.8 milliseconds. In yet  
19 another alternative embodiment of the invention, cathodal stimulation 408 is of a high  
20 amplitude. In another alternative embodiment of the invention, cathodal stimulation 408 is in  
21 the approximate range of three to twenty volts. In yet another alternative embodiment of the  
22 present invention, cathodal stimulation 408 is of a duration less than 0.3 milliseconds and at a  
23 voltage greater than twenty volts. In another alternative embodiment, anodal stimulation 402  
24 is administered over 200 milliseconds post heart beat. In the manner disclosed by these  
25 embodiments as well as those alterations and modifications which may become obvious upon  
26 the reading of this specification, a maximum membrane potential without activation is  
27 achieved in the first phase of stimulation.

28 Fig. 5 depicts biphasic electrical stimulation wherein a first stimulation phase  
29 comprising series 502 of anodal pulses is administered at amplitude 504. In one embodiment  
30 rest period 506 is of equal duration to stimulation period 508 and is administered at baseline  
31 amplitude. In an alternative embodiment, rest period 506 is of a differing duration than

1 stimulation period 508 and is administered at baseline amplitude. Rest period 506 occurs  
2 after each stimulation period 508 with the exception that a second stimulation phase  
3 comprising cathodal stimulation 510 of conventional intensity and duration immediately  
4 follows the completion of series 502. In an alternative embodiment of the invention, the total  
5 charge transferred through series 502 of anodal stimulation is at the maximum subthreshold  
6 level. In yet another alternative embodiment of the invention, the first stimulation pulse of  
7 series 502 is administered over 200 milliseconds post heart beat. In another alternative  
8 embodiment of the invention, cathodal stimulation 510 is of a short duration. In yet another  
9 alternative embodiment of the invention, cathodal stimulation 510 is approximately 0.3 to 0.8  
10 milliseconds. In another alternative embodiment of the invention, cathodal stimulation 510 is  
11 of a high amplitude. In yet another alternative embodiment of the invention, cathodal  
12 stimulation 510 is in the approximate range of three to twenty volts. In another alternative  
13 embodiment of the invention, cathodal stimulation 510 is of a duration less than 0.3  
14 milliseconds and at a voltage greater than twenty volts.

15

## 16 EXAMPLE 1

17

18 Stimulation and propagation characteristics of the myocardium were studied in  
19 isolated hearts using pulses of differing polarities and phases. The experiments were carried  
20 out in five isolated Langendorff perfused rabbit hearts. Conduction velocity on the  
21 epicardium was measured using an array of bipolar electrodes. Measurements were made  
22 between six millimeters and nine millimeters from the stimulation site. Transmembrane  
23 potential was recorded using a floating intracellular microelectrode. The following protocols  
24 were examined: monophasic cathodal pulse, monophasic anodal pulse, leading cathodal  
25 biphasic pulse and leading anodal biphasic pulse.

26 Table 1 discloses the conduction speed transverse to fiber direction for each  
27 stimulation protocol administered, with stimulations of three, four and five volts and two  
28 millisecond pulse duration.

TABLE 1

Conduction Speed Transverse to Fiber Direction, 2 msec

duration

	3 V	4 V	5 V
Cathodal Monophasic	18.9 ± 2.5 cm/sec	21.4 ± 2.6 cm/sec	23.3 ± 3.0 cm/sec
Anodal Monophasic	24.0 ± 2.3 cm/sec	27.5 ± 2.1 cm/sec	31.3 ± 1.7 cm/sec
Leading Cathodal Biphasic	27.1 ± 1.2 cm/sec	28.2 ± 2.3 cm/sec	27.5 ± 1.8 cm/sec
Leading Anodal Biphasic	26.8 ± 2.1 cm/sec	28.5 ± 0.7 cm/sec	29.7 ± 1.8 cm/sec

Table 2 discloses the conduction speed along fiber direction for each stimulation protocol administered, with stimulations of three, four and five volts and two millisecond pulse duration.

TABLE 2

Conduction Speed Along Fiber Direction, 2 msec stimulation

	3 V	4 V	5 V
Cathodal Monophasic	45.3 ± 0.9 cm/sec	47.4 ± 1.8 cm/sec	49.7 ± 1.5 cm/sec
Anodal Monophasic	48.1 ± 1.2 cm/sec	51.8 ± 0.5 cm/sec	54.9 ± 0.7 cm/sec
Leading Cathodal Biphasic	50.8 ± 0.9 cm/sec	52.6 ± 1.1 cm/sec	52.8 ± 1.7 cm/sec
Leading Anodal Biphasic	52.6 ± 2.5 cm/sec	55.3 ± 1.5 cm/sec	54.2 ± 2.3 cm/sec

The differences in conduction velocities between the cathodal monophasic, anodal monophasic, leading cathodal biphasic and leading anodal biphasic were found to be significant ( $p < 0.001$ ). From the transmembrane potential measurements, the maximum upstroke ((dV/dt)<sub>max</sub>) of the action potentials was found to correlate well with the changes in conduction velocity in the longitudinal direction. For a four volt pulse of two millisecond duration, (dV/dt)<sub>max</sub> was 63.5 ± 2.4 V/sec for cathodal and 75.5 ± 5.6 V/sec for anodal pulses.

## EXAMPLE 2

The effects of varying pacing protocols on cardiac electrophysiology were analyzed using Langendorff prepared isolated rabbit hearts. Stimulation was applied to the heart at a constant voltage rectangular pulse. The following protocols were examined: monophasic

1 anodal pulse, monophasic cathodal pulse, leading anodal biphasic pulse and leading cathodal  
2 biphasic pulse. Administered voltage was increased in one volt steps from one to five volts  
3 for both anodal and cathodal stimulation. Duration was increased in two millisecond steps  
4 from two to ten milliseconds. Epicardial conduction velocities were measured along and  
5 transverse to the left ventricular fiber direction at a distance between three to six millimeters  
6 from the left ventricular free wall. Figs. 6 and 7 depict the effects of stimulation pulse  
7 duration and the protocol of stimulation administered on the conduction velocities.

8 Fig. 6 depicts the velocities measured between three millimeters and six millimeters  
9 transverse to the fiber direction. In this region, cathodal monophasic stimulation 602  
10 demonstrates the slowest conduction velocity for each stimulation pulse duration tested. This  
11 is followed by anodal monophasic stimulation 604 and leading cathodal biphasic stimulation  
12 606. The fastest conductive velocity is demonstrated by leading anodal biphasic stimulation  
13 608.

14 Fig. 7 depicts the velocities measured between three millimeters and six millimeters  
15 parallel to the fiber direction. In this region, cathodal monophasic stimulation 702  
16 demonstrates the slowest conduction velocity for each stimulation pulse duration tested.  
17 Velocity results of anodal monophasic stimulation 704 and leading cathodal biphasic  
18 stimulation 706 are similar with anodal monophasic stimulation demonstrating slightly  
19 quicker speeds. The fastest conduction velocity is demonstrated by leading anodal biphasic  
20 stimulation 708.

21 In one aspect of the invention, electrical stimulation is administered to the cardiac  
22 muscle. The anodal stimulation component of biphasic electrical stimulation augments  
23 cardiac contractility by hyperpolarizing the tissue prior to excitation, leading to faster impulse  
24 conduction, more intracellular calcium release, and the resulting superior cardiac contraction.  
25 The cathodal stimulation component eliminates the drawbacks of anodal stimulation,  
26 resulting in effective cardiac stimulation at a lower voltage level than would be required with  
27 anodal stimulation alone. This in turn, extends pacemaker battery life and reduces tissue  
28 damage.

29 In a second aspect of the invention, biphasic electrical stimulation is administered to  
30 the cardiac blood pool, that is, the blood entering and surrounding the heart. This enables  
31 cardiac stimulation without the necessity of placing electrical leads in intimate contact with

1 cardiac tissue, thereby diminishing the likelihood of damage to this tissue. The stimulation  
2 threshold of biphasic stimulation administered via the blood pool is in the same range as  
3 standard stimuli delivered directly to the heart muscle. Through the use of biphasic electrical  
4 stimulation to the cardiac blood pool it is therefore possible to achieve enhanced cardiac  
5 contraction, without skeletal muscle contraction, cardiac muscle damage or adverse effects to  
6 the blood pool.

7 In a third aspect of the invention biphasic electrical stimulation is applied to striated  
8 muscle tissue. The combination of anodal with cathodal stimulation results in the contraction  
9 of a greater number of muscular motor units at a lower voltage level, resulting in improved  
10 muscular response.

11 Having thus described the basic concept of the invention, it will be readily apparent to  
12 those skilled in the art that the foregoing detailed disclosure is intended to be presented by  
13 way of example only, and is not limiting. Various alterations, improvements and  
14 modifications will occur and are intended to those skilled in the art, but are not expressly  
15 stated herein. These modifications, alterations and improvements are intended to be  
16 suggested hereby, and within the spirit and scope of the invention. Further, the pacing pulses  
17 described in this specification are well within the capabilities of existing pacemaker  
18 electronics with appropriate programming. Accordingly, the invention is limited only by the  
19 following claims and equivalents thereto.

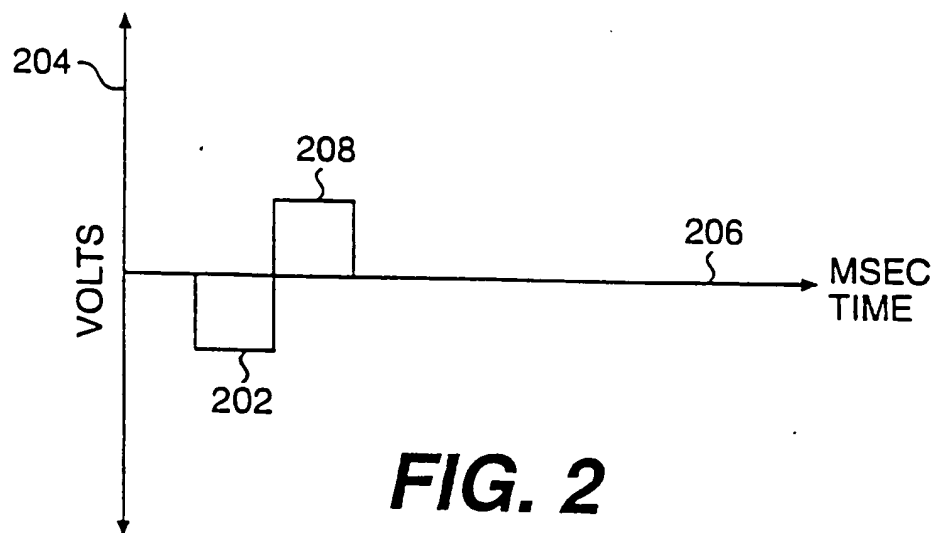
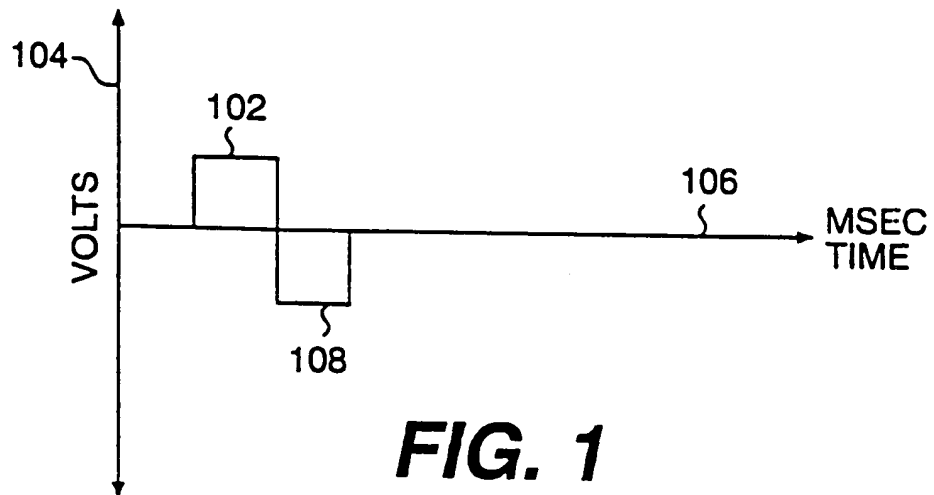
1 What is claimed is:

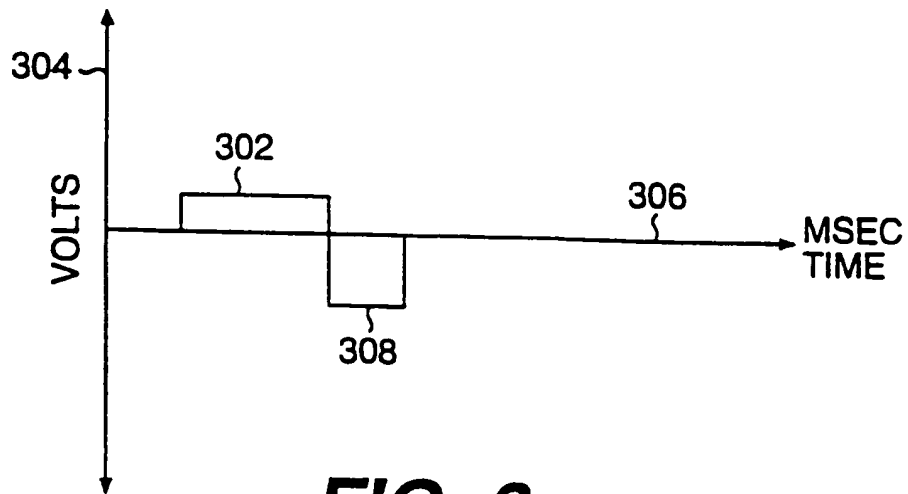
- 2 1. A method for electrical cardiac pacing comprising:  
3 applying electrical stimulation to a cardiac blood pool.
- 4 2. The method for electrical cardiac pacing as in claim 1 wherein the electrical  
5 stimulation comprises:  
6 a first stimulation phase with a first phase polarity, a first phase amplitude, a first  
7 phase shape and a first phase duration; and  
8 a second stimulation phase with a second phase polarity, a second phase amplitude, a  
9 second phase shape and a second phase duration.
- 10 3. The method for electrical cardiac pacing as in claim 2  
11 wherein the first stimulation phase and the second stimulation phase are applied in  
12 sequence to the cardiac blood pool.
- 13 4. The method for electrical cardiac pacing of claim 2 wherein the first phase  
14 polarity is positive.
- 15 5. The method for electrical cardiac pacing of claim 2 wherein the first phase  
16 amplitude is less than the second phase amplitude.
- 17 6. The method for electrical cardiac pacing of claim 2 wherein the first phase  
18 amplitude is ramped from a baseline value to a second value.
- 19 7. The method for electrical cardiac pacing of claim 6 wherein the second value  
20 is equal to the second phase amplitude.
- 21 8. The method for electrical cardiac pacing of claim 2 wherein the first  
22 stimulation phase further comprises a series of stimulating pulses of a predetermined  
23 amplitude, polarity and duration.
- 24 9. The method for electrical cardiac pacing of claim 8 wherein the first  
25 stimulation phase further comprises a series of rest periods.
- 26 10. The method for electrical cardiac pacing of claim 9 wherein applying the first  
27 stimulation phase further comprises applying a rest period of a baseline amplitude after at  
28 least one stimulating pulse.
- 29 11. The method for electrical cardiac pacing of claim 10 wherein the rest period is  
30 of equal duration to the duration of the stimulating pulse.

- 1           **12.**    The method for electrical cardiac pacing of claim 2 wherein the first phase  
2 amplitude is at a maximum subthreshold amplitude.
- 3           **13.**    The method for electrical cardiac pacing of claim 12 wherein the maximum  
4 subthreshold amplitude is about 0.5 to 3.5 volts.
- 5           **14.**    The method for electrical cardiac pacing of claim 2 wherein the first phase  
6 duration is at least as long as the second phase duration.
- 7           **15.**    The method for electrical cardiac pacing of claim 2 wherein the first phase  
8 duration is about one to nine milliseconds.
- 9           **16.**    The method for electrical cardiac pacing of claim 2 wherein the second phase  
10 duration is about 0.2 to 0.9 milliseconds.
- 11          **17.**    The method for electrical cardiac pacing of claim 2 wherein the second phase  
12 amplitude is about two volts to twenty volts.
- 13          **18.**    The method for electrical cardiac pacing of claim 2 wherein the second phase  
14 duration is less than 0.3 milliseconds and the second phase amplitude is greater than 20 volts.
- 15          **19.**    The method for electrical cardiac pacing of claim 6 wherein the second value  
16 is at a maximum subthreshold amplitude.
- 17          **20.**    The method for electrical cardiac pacing of claim 19 wherein the maximum  
18 subthreshold amplitude is about 0.5 to 3.5 volts.
- 19          **21.**    The method for electrical cardiac pacing of claim 6 wherein the first phase  
20 duration is at least as long as the second phase duration.
- 21          **22.**    The method for electrical cardiac pacing of claim 6 wherein the first phase  
22 duration is about one to nine milliseconds.
- 23          **23.**    The method for electrical cardiac pacing of claim 6 wherein the second phase  
24 duration is about 0.2 to 0.9 milliseconds.
- 25          **24.**    The method for electrical cardiac pacing of claim 6 wherein the second phase  
26 amplitude is about two volts to twenty volts.
- 27          **25.**    The method for electrical cardiac pacing of claim 6 wherein the second phase  
28 duration is less than 0.3 milliseconds and the second phase amplitude is greater than 20 volts.
- 29          **26.**    The method for electrical cardiac pacing of claim 2 wherein the first  
30 stimulation phase is initiated greater than 200 milliseconds after completion of a cardiac  
31 beating cycle.

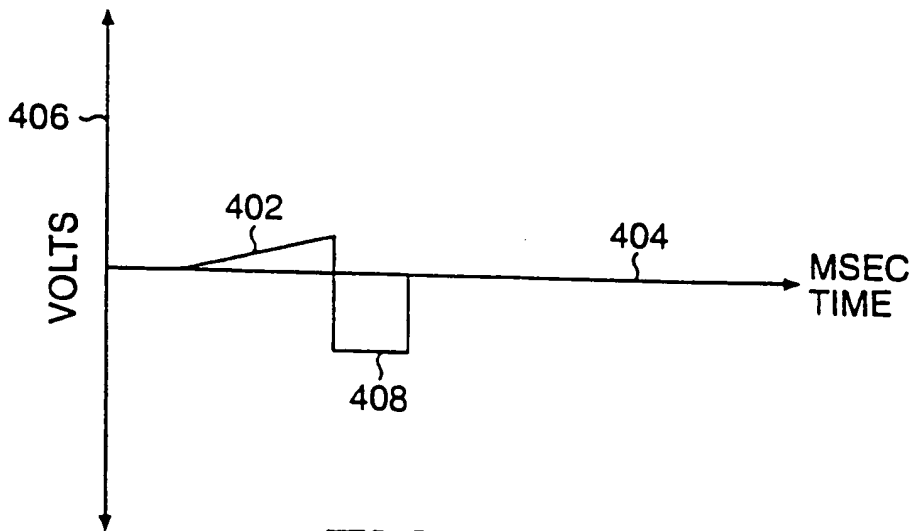


- 1           **27.**    A method for electrical cardiac pacing comprising the steps of:  
2           defining a first stimulation phase with a positive polarity, a first phase amplitude, a  
3           first phase shape and a first phase duration, wherein said first phase amplitude is about 0.5 to  
4           3.5 volts, wherein said first phase duration is about one to nine milliseconds and wherein said  
5           first stimulation phase is initiated greater than 200 milliseconds after completion of a cardiac  
6           beating cycle;  
7           defining a second phase with a negative polarity, a second phase amplitude, a second  
8           phase shape and a second phase duration, wherein said second phase amplitude is about four  
9           volts to twenty volts and wherein said second phase duration is about 0.2 to 0.9 milliseconds;  
10          and  
11          applying the first stimulation phase and the second stimulation phase in sequence to  
12          the cardiac blood pool.
- 13           **28.**    A method for electrical cardiac pacing comprising the steps of:  
14           defining a first stimulation phase with a first phase polarity, a first phase amplitude, a  
15           first phase shape and a first phase duration;  
16           defining a second phase with a second phase polarity, a second phase amplitude, a  
17           second phase shape and a second phase duration; and  
18           applying the first stimulation phase and the second stimulation phase in sequence to  
19           the cardiac blood pool.



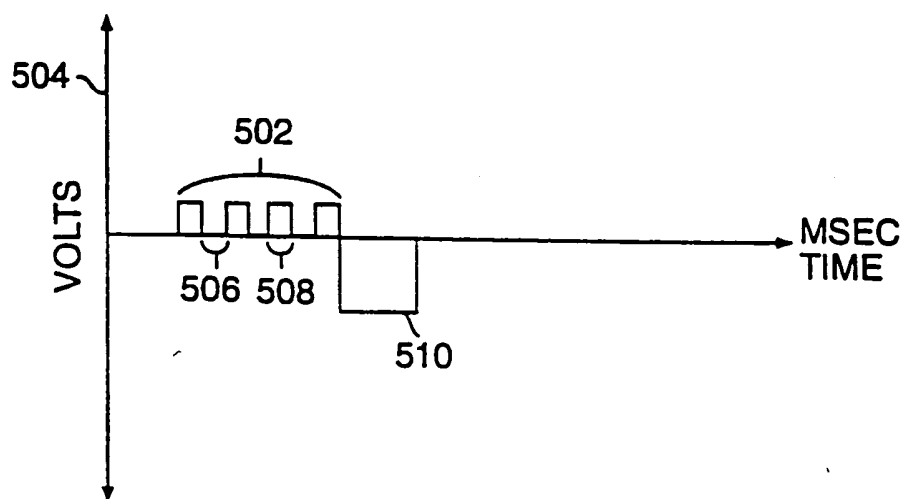


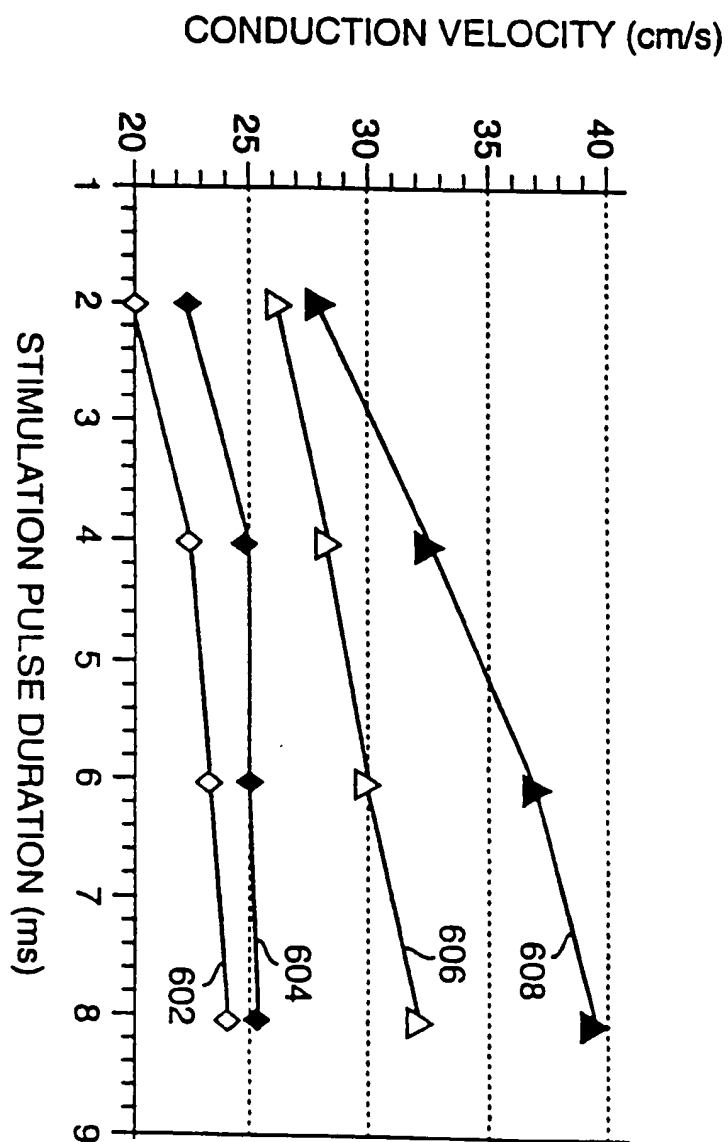
**FIG. 3**



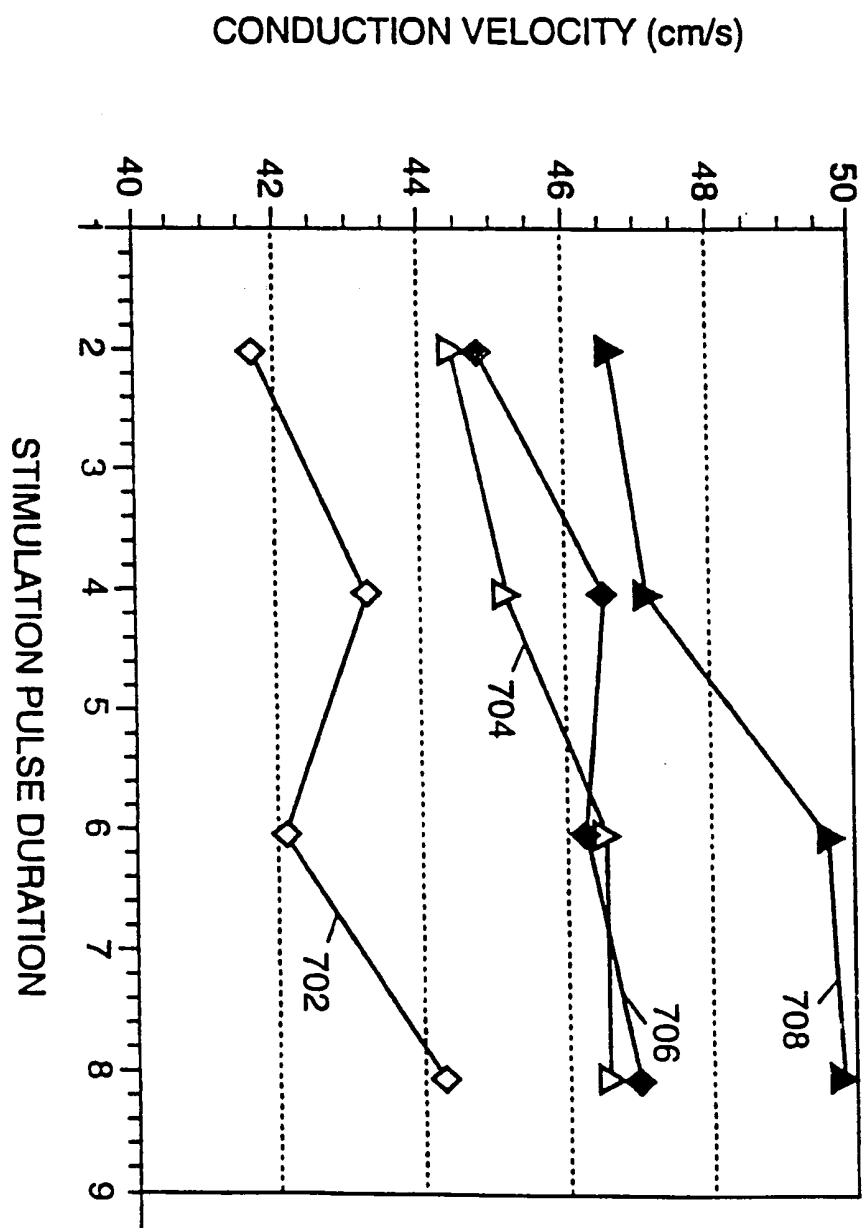
**FIG. 4**

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**FIG. 5**

**FIG. 6**

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**FIG. 7**

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 99/00879

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61N1/362

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	US 5 871 506 A (MOWER MORTON M) 16 February 1999 see the whole document ---	1,2
X	WO 97 25098 A (NEW TECHNOLOGIES SA YSY LTD ;FENSTER MAIER (IL); BEN HAIM SHLOMO ( ) 17 July 1997 see the whole document see page 9, line 28 - line 30 see page 14, line 14 - line 20 see page 19, line 12 - line 15 see page 33, line 7 - line 18 see page 34, line 12 - line 23 --- -/--	1,2



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

### \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

19 April 1999

Date of mailing of the international search report

26/04/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

Ferrigno, A

# INTERNATIONAL SEARCH REPORT

Int. J. Appl. Application No

PCT/US 99/00879

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 813 889 A (MEDTRONIC INC) 29 December 1997 see abstract see column 15, line 54 - column 16, line 11; figures ---	1,2
A	US 4 498 478 A (BOURGEOIS IVAN M) 12 February 1985 see the whole document -----	1,2



# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/ 00879

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 3-28  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT- Method for treatment of the human or animal body by therapy: an incomplete search, within the limit of the available documentation, has been carried out for claims 1 and 2.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/00879

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